

## CHAPTER 4A

### RACEBOOKS AND SPORTS POOLS

#### SECTION 1 DEFINITIONS

- A. "Book" means a race book or sports pool approved pursuant to Title XV of the Mississippi Band of Choctaw Indians Tribal Code and these Regulations.
- B. "Communications technology" means the methods used and the components employed to facilitate the transmission of information including, but not limited to, transmission and reception systems based on wire, cable, radio, microwave, light, optics, or computer data networks utilized for race books and sports pools.
- C. "Nonpari-mutuel wager" means a race book or sports pool wager other than one offered by the pari-mutuel system of wagering.
- D. "Pari-mutuel system of wagering" means a system of wagering whereby wagers with respect to the outcome of a race or other sporting events are placed in a wagering pool conducted by the Tribe and in which the participants are wagering with each other and not against the operator. The term includes off-site pari-mutuel wagering.
- E. "Race book" means the business of accepting wagers upon the outcome of any event held at a track.
- F. "Sports pool" means the business of accepting wagers on collegiate or professional sporting events or athletic events or other similar events by any method of wagering other than the system known as pari-mutuel system of wagering.
- G. "Tribal Land" shall be synonymous with the term "Indian lands" as used in IGRA.
- H. "Wagering account" means an electronic account that may be established by a patron at a casino property for the purpose of wagering pursuant to these regulations, including deposits, withdrawals, wagered amounts, and payouts on winning wagers.
- I. "Wagering communication" means the transmission of a wager between a point of origin and a point of reception by aid of a communications technology.
- J. "Wagering system" means the methodology and equipment approved by the Choctaw Gaming Commission for accepting and recording wagers authorized by these Regulations.

## **SECTION 2 LICENSING**

- A. No person or entity may operate a race book or sports pool on Tribal Land unless that person or entity is a gaming operator within the meaning of Chapter 1(O) and has received permission from the Choctaw Gaming Commission specifically permitting the person or entity to do so.
- B. A gaming operator may offer a race book or sports pool within the licensed gaming premises after receiving approval to do so from the Commission.
- C. A person or entity that a gaming operator contracts with to assist in the offering of race book or sports pool wagering by providing operational, technical or other associated support shall obtain a manufacturer license and a distributor license from the Commission. Applications for such a license must be made, processed, and determined in the same manner as applications for other gaming licenses, using such forms as the Commission may require. Employees of such entities shall be licensed or permitted consistent with the applicable provisions of Title XV and Chapter 2 of these Regulations.
- D. Each gaming operator of a race book or sports pool must also submit an internal control system for approval by the Commission prior to commencing operations.

## **SECTION 3 OPERATIONS**

### **Section 3.1 Reserve Requirements**

- A. Notwithstanding the minimum bankroll required of gaming operators, each book shall comply with the following to calculate additional minimum reserve requirements specifically for the book:
  - 1. Each book shall at all times maintain access to a cash reserve of not less than the greater of \$50,000 or the sum of the following amounts:
    - a. Amounts held by the book for the account of patrons;
    - b. Aggregate amounts accepted by the book as wagers on contingencies whose outcomes have not been determined; and
    - c. Amounts owed but unpaid by the book on winning wagers through the period established by the book for honoring winning wagers.

### **Section 3.2 House Rules**

- A. Each book shall adopt and adhere to written, comprehensive house rules governing wagering transactions with patrons. Such house rules must be immediately available to patrons at a book's licensed premises. Without limiting the generality of the foregoing, the rules must specify the types of wagers accepted, how winning wagers will be paid, the effect of schedule changes, the redemption period for winning tickets, and the method of noticing odds or line changes to patrons. House rules must state that wagers may be accepted at other than the currently posted terms, if applicable. Prior to adopting or amending such house rules, a book shall submit such rules to the Commission for approval.

### **Section 3.3 Issuance and Control of Betting Tickets**

- A. Immediately upon accepting a wager, other than a wager made through an electronic Wagering account, the book shall create a betting ticket on which the terms of the wager are written. For all wagers, the book must have the capability to make a print, electronic or other approved record of the entire transaction. The book's record of a player's confirmation of all wagers shall be deemed to be the transaction of record and such records shall be made available upon request.
- B. Betting tickets must bear the name and address of the book and instructions on ticket redemption in person or by mail or other approved method.

### **Section 3.4 Acceptance of Wagers**

- A. Books may not accept wagers unless made with cash, chips, tokens, or other representatives of value approved by the Commission, or against credits made to a Wagering account as approved by the Commission or on credit extended in accordance

with the gaming operator's internal controls and the regulations of the Commission. A Wagering account must be established by a patron with the gaming operator, and deposits must be made to the account in-person by a patron at the gaming operator's premises before the acceptance of any wager that will utilize a Wagering account.

- B. A book shall accept wagers only on its licensed premises, and only at betting stations or kiosks/terminals approved by the Commission or through an on-site computerized Wagering system that has been approved by the Commission.
- C. A book shall not knowingly accept money or its equivalent ostensibly as a wager upon an event whose outcome has already been determined. A sports pool shall not accept a wager on an event unless the date and time at which the outcome of the event is determined can be confirmed from reliable sources satisfactory to the Commission or from records created and maintained by the book in such manner as the Commission may approve.
- D. As part of its internal controls submission, a book shall provide the Commission with a catalog of the type of events that it intends to accept wagers on. The Commission reserves the right to prohibit the acceptance of wagers, and may order the cancellation of wagers and require refunds on any event for which, in its estimation, wagering would be contrary to the public policies of the Tribe.
- E. No book or agent or employee of a book may accept a wager from a person who the book, agent, or employee knows or reasonably should know is placing the wager for the benefit of another for compensation, or is placing the wager in violation of any applicable law.
- F. No book may hold a patron's money or its equivalent on the understanding that the book will accept the money as a wager only upon the occurrence of a specified, future contingency, unless a betting ticket documenting the wager and contingency is issued immediately when the book receives the money or its equivalent.
- G. A race book or sports pool may not accept wagers on a race or sporting event unless the wagering proposition is posted. Propositions may be posted by electronic or manual means, including printed media.
- H. A book may not unilaterally rescind any wager without the prior written approval of the Commission.

### Section 3.5 Wagers and payouts exceeding \$10,000

- A. Prior to accepting any nonpari-mutuel wager in excess of \$10,000 or making a payout in excess of \$10,000 on a nonpari-mutuel winning wager the book shall:
1. Obtain the patron 's name;
  2. Obtain or reasonably attempt to obtain the patron's permanent address and social security number;
  3. Obtain one of the following identification credentials from the patron;
    - a. Driver's license;
    - b. Passport;
    - c. Non-resident alien identification card;
    - d. Other reliable government issued identification credentials; or
    - e. Other picture identification credential acceptable pursuant to the Bank Security Act.
  4. Examine the identification credential obtained to verify the patron's name, and to the extent possible, to verify the accuracy of the information obtained pursuant to paragraph (2).
- B. Subsequent to accepting a nonpari-mutuel wager in excess of \$10,000 or making a payout in excess of \$10,000 on a nonpari-mutuel winning wager the book shall record or maintain records that include:
1. The patron 's name;
  2. The patron 's address;
  3. The patron's social security number;
  4. A description including any document number of the identification credential examined (or credential information on file for known patrons);
  5. The amounts of the wager and payout on the wager;
  6. Window numbers or other identification of the locations where the wager and payout on the wager occurred;
  7. The times and dates of the wager and payout on the wager;
  8. The names and signatures of the book employees accepting or approving the wager and payout on the wager; and
  9. Any other information as required by the Commission.

A book shall not implement alternative procedures to comply with this subsection without the written approval of the Commission.

- C. As used in this section, a "known patron" means an individual patron known to the book of employees accepting the wager and paying the winning wager, for whom the gaming operator has previously obtained the patron's name and valid identification credential, and with respect to whom the gaming operator has on file and updates, at least every three years, all the information required to be recorded pursuant to this section.

D. As used in this section, a "listed patron" means a known patron for whom the book has requested and received approval from the Commission to exclude wagers and payments on winning wagers placed by the patron from the reporting requirements of this section. If the Commission does not deny the request for approval within 15 days of receipt of the request, the request will be deemed to be approved. All approvals may be revoked at any time at the discretion of the Commission, and are conditioned that the patron's wagers and payments on winning wagers remain subject to the identification and recordkeeping requirements of subsections (a) through (c) of this and of Section 3.6 and Section 3.7. A book's written request to have a patron approved as a listed patron shall include:

1. The patron's name;
2. The patron's residence, mailing or business address;
3. The patron's social security number;
4. The patron 's identification credential information including any document number and expiration date;
5. The patron's birth date;
6. A recent photograph of the patron's face or a copy of a current picture identification credential;
7. A description of the patron's book wagering activity including the use of any wagering accounts or credit accounts including account numbers;
8. A statement as to why the book desires to have the patron approved as a listed patron and an acknowledgment that the book believes that the patron is not involved in illegal wagering activity;
9. The signature of an officer of the gaming operator; and
10. Any other information as required by the Commission.

All records related to the patron's activity supporting the request shall be available for Commission review.

E. Each book shall report the wagers and payments on winning wagers required to be recorded pursuant to this section, excluding any wagers and payments on winning wagers accepted from listed patrons, on a "Book Wagering Report," a form approved by the Commission that includes, but is not limited to:

1. The patron's name;
2. The patron's identity credential information;
3. The patron's social security number;
4. Wager and payout amounts; and
5. Date of transactions.

Reports shall be submitted to the Commission no later than 15 days after the end of the month of the occurrence of the transaction and in such manner as the Commission may approve or require. Each book shall file an amended report if the gaming operator obtains information to correct or complete a previously submitted report, and the amended report shall make reference to the previously submitted report. Each book shall retain a copy of each report filed for at least 3 years unless the Commission requires retention for a longer period of time.

### Section 3.6 Multiple Wagers

- A. A book and its employees and agents shall not knowingly allow, and each book shall take reasonable steps to prevent, the circumvention of Section 3.5 by multiple wagers within its designated 24-hour period with a patron or by the use of a series of wagers that are designed to circumvent the provisions of Section 3.5. As part of a book's efforts to prevent such circumventions relative to Section 3.5 a book shall establish and implement wagering multiple transaction logs.
- B. Each book shall record in a wagering multiple transaction log all nonpari-mutuel wagers in excess of \$5,000, or in smaller amounts that aggregate in excess of \$5,000 when any single officer, employee, or agent of the book has actual knowledge of the wagers or would in the ordinary course of business have reason to know of the wagers between the book and a patron or a person who the book knows or has reason to know is the patron's confederate or agent. This record shall be made for nonpari-mutuel wagers occurring during a designated 24-hour period, within a monitoring area.
- C. Each log entry in a wagering multiple transaction log shall be made by the employee or supervisor accepting or approving the wager, immediately after accepting the wager, and shall include at a minimum:
  - 1. Description of the patron (or suspected agent), which may include such identifiers as age, sex, race, eye color, hair, weight, height and attire, if the person is present when the wager is accepted;
  - 2. Patron's name (or suspected agent's name), if known;
  - 3. Window number or other identification of the location where the wager occurred;
  - 4. Time and date of the wager;
  - 5. Dollar amount of the wager; and
  - 6. Signature or electronic signature of person accepting or approving the wager.

One log shall be maintained for each monitoring area, for each designated 24-hour period. A log is completed for each 24-hour period regardless of whether any nonpari-mutuel wagers occurred. At the conclusion of each designated 24-hour period, the last entry on the log shall be an indication that the end of the designated 24-hour period has occurred. A book shall not implement alternative procedures or records to comply with this subsection without the written approval of the Commission.

- D. Each book shall aggregate all nonpari-mutuel wagers in excess of \$5,000 or smaller amounts when any single officer, employee, or agent of the book has actual knowledge of the wagers or would in the ordinary course of business have reason to know of the wagers between the book and a patron or a person who the book knows or has reason to know is the patron's confederate or agent during a designated 24-hour period within a monitoring area.

Before completing a wager that, when aggregated with other wagers pursuant to subsection (d), will aggregate to an amount that will exceed \$10,000, the book shall complete the identification and recordkeeping requirements described in subsection (a) of Section 3.5. When aggregated wagers exceed \$10,000, the book shall complete the recording and reporting requirements of Section 3.5.

- E. If a patron places a wager that pursuant to subsection (d) is to be aggregated with previous wagers for which a record has been completed pursuant to this Section or Section 3.5, the book shall complete the identification, recordation and reporting procedures described in Section 3.5 for any additional wager regardless of amount occurring during a designated 24-hour period.
- F. As used in this section:
  - 1. "Designated 24-hour period" means the 24-hour period as set by the gaming operator, with notice to the Commission.
  - 2. "Monitoring area" means all race book and sports pool writing locations as designated by the gaming operator, with notice to the Commission.
- G. The Commission may require a book to comply with the identification, recordkeeping, and reporting requirements of Sections 3.5 and 3.6 for pari-mutuel wagers. The Commission shall notify the book of the decision, in writing, and such decision shall be considered a final decision.

### **Section 3.7 Structured Wagers**

- A. A book, its officers, employees or agents shall not encourage or instruct the patron to structure or attempt to structure wagers. This subsection does not prohibit a book from informing a patron of the regulatory requirements imposed upon the book, including the definition of structured wagers.
- B. A book, its officers, employees or agents shall not knowingly assist a patron in structuring or attempting to structure wagers.

As used in this Section, "structure wagers" or "structuring wagers" means to willfully conduct or attempt to conduct a series of wagers in any amount, at one or more books, during any Designated 24-hour period in any manner as to willfully evade or circumvent the recording and reporting requirements of Section 3.5. The wager or wagers need not exceed the dollar thresholds in Section 3.5 at any single book in any single Designated 24-hour period in order to constitute structuring within the meaning of this definition.



### **Section 3.8 Payment of Winning Wagers**

- A. Except as otherwise provided in this subsection, books shall make payment on a winning wager to the person who presents the patron's copy of the betting ticket representing the wager. A book need not make payment to a person who the book or an agent or employee of the book knows is not the person to whom the patron's copy was issued. A book shall not make payment on a winning wager to a person who the book or its agent or employee knows or reasonably should know is collecting the payment on behalf of another for monetary consideration or in violation of any applicable law. A book may withhold payment of a winning wager if the patron refuses to supply identification or any other documentation required by applicable law.
- B. As used in this section, "affiliated books" are books that are in the Tribe's licensed facilities. Presentment of the betting ticket and payment of the winning wager may be made at an affiliated book provided that:
  - 1. An adequate accounting of the payment is kept for 3 years by both books; and
  - 2. The payout is properly included in the computation of gross revenue of the gaming operator that initially accepted the wager.
- C. Books shall honor winning betting tickets for 30 days after the conclusion of the event wagered upon unless a longer period is established by the book. The book shall state the redemption period on each betting ticket, in house rules and on notices conspicuously placed about the licensed premises. Payment by mail may be made only after presentment of the betting ticket and all identification information and documentation required by applicable law, and must be made not later than 10 days after presentment.
- D. A licensed race book shall determine the winners of or payouts on wagers on horse and other animal races only with information the book receives from licensed disseminators pursuant to the requirements of these Regulations.
- E. Payments may be made at locations authorized by the Commission.

### **Section 3.9 Computerized Bookmaking Systems**

- A. Before beginning operations, each book shall install and thereafter maintain a computerized bookmaking system meeting the specifications approved by the Commission.

### **Section 3.10 Layoff Bets**

- A. A layoff wager means a wager placed by a licensed book operator with another licensed book operator for the purpose of offsetting patron wagers made pursuant to these regulations. A licensed book operator may, in its discretion, accept a layoff wager from another licensed book operator. An operator placing a layoff wager shall disclose its identity to the operator accepting the wager.

### Section 3.11 Prohibited wagers

- A. No wagers may be accepted or paid by any book on:
1. Any amateur sport or athletic event other than:
    - i. Olympic sporting or athletic events sanctioned by the International Olympic Committee, subject to limitation by the Commission; and
    - ii. Collegiate sporting or athletic events;
  2. Any sport or athletic event which the gaming operator knows or reasonably should know, is being placed by, or on behalf of a coach or participant in that event. Each gaming operator shall take reasonable steps to prevent the circumvention of this regulation;
  3. The outcome of any election for any public office; and
  4. Any event which the Commission deems to be contrary to public policy.
- B. A request for approval to accept wagers on an event other than a horse race, greyhound race, or an sport or athletic event shall be made by a book on such forms approved by the Commission, and shall include:
1. A full description of the event and the manner in which wagers would be placed and winning wagers would be determined.
  2. A full description of any technology which would be utilized to offer the event.
  3. Such other information or documentation which demonstrates that:
    - i. The event could be effectively supervised;
    - ii. The outcome of the event would be verifiable;
    - iii. The outcome of the event would be generated by a reliable and independent process;
    - iv. The outcome of the event would be unlikely to be affected by any wager placed;
    - v. The event could be conducted in compliance with any applicable laws; and
    - vi. The granting of the request for approval would be consistent with the public policy of the state.
  4. Such additional or supplemental information as the Commission may require. The decision whether to grant approval to accept wagers on an event other than a horse race, greyhound race, or any sport or athletic event shall be based on all relevant information including, but not limited to, the factors in subsection (b)(3) of this Section. The Commission may subject any technology that would be utilized to offer the event to such testing, investigation and approval process as it deems appropriate.
  5. Such request for approval to accept wagers on an event other than a horse race, greyhound race, or any sport or athletic event shall be deemed an application and the book which submitted the request shall submit the appropriate application fee as set by the Commission. Such application shall be included on the agenda of the next regularly scheduled meeting of the Commission occurring more than 10 working days after receipt of the application.

6. The Commission, after considering the request for approval, may grant, deny, limit, restrict or condition the application for any cause it deems reasonable and the decision of the Commission shall be final and shall not be subject to any further administrative or judicial review.

### **Section 3.12 Reports of Suspicious Wagers**

- A. As used in this section, "suspicious wager" means a wager which a sports pool gaming operator knows or in the judgment of it or its directors, officers, employees and agents has reason to suspect is being attempted or was placed:
  1. In violation of or as part of a plan to violate or evade any applicable law or regulation prohibiting wagering by, or on behalf of, a coach or participant in a sport or athletic event;
  2. Has no business or apparent lawful purpose or is not the sort of wager which the particular patron would normally be expected to place, and the sports pool gaming operator knows of no reasonable explanation for the wager after examining the available facts, including the background of the wager; or
  3. With knowledge or intent to violate the integrity of the sport in which it was placed. Wagers that indicate cheating, manipulation, or interference with the regular conduct of sport shall also trigger the reporting requirements of Section 3.19.
- B. A sports pool gaming operator:
  1. Shall file with the Commission, by using a form approved by the Commission, a report of any suspicious wager, if it involves or aggregates to more than \$5,000 in funds or other assets; and
  2. May file a report of any suspicious wager, regardless of the amount if the gaming operator believes it is relevant to the possible violation of any law or regulation.
- C. The report in subsection (b)(1) shall be filed no later than 5 calendar days after the initial detection by the gaming operator of facts that may constitute a basis for filing such a report. If no suspect was identified on the date of the detection of the incident requiring the filing, a gaming operator may delay filing a report for an additional 5 calendar days to identify a suspect. In no case shall reporting be delayed more than 10 calendar days after the date of initial detection of a reportable transaction. In situations involving violations that require immediate attention, the gaming operator shall immediately notify, by telephone, appropriate staff of the commission in addition to timely filing a report.
- D. A gaming operator shall maintain a copy of any report filed and the original or business record equivalent of any supporting documentation for a period of three years from the date of filing the report. Supporting documentation shall be identified, and maintained by the gaming operator as such, and shall be deemed to have been filed with the report. A gaming operator shall make all supporting documentation available to the Commission and any appropriate law enforcement agencies upon request.

- E. A gaming operator and its directors, officers, employees, or agents who file a report pursuant to this regulation shall not notify any person involved in the transaction that the transaction has been reported. Any report filed with the Commission is confidential and may be disclosed only by the Commission in the necessary administration of its duties and responsibilities under Title XV of the Tribal Code and these Regulations.

### **Section 3.13 Wagers; Terms and Conditions**

- A. A gaming operator may award player loyalty program points based on wagers placed by a patron, however, such points may only be redeemed in accordance with the rules of the program.
- B. The Commission may require a book to disclose its betting limits in its house rules and obtain approval from the Commission before changing those limits or modifying its house rules; and document and report, in such manner as the Commission may approve or require, wagering limits, temporary changes to such limits, or the acceptance of a wager or series of wagers from the same patron that exceeds such limits. The Commission shall notify the book, in writing, of the decision to impose such requirements.
- C. A book shall not offer a specialized wagering proposition, or set or move its wagering odds, lines or limits, in an attempt to provide a benefit to a patron.
- D. A book shall not set lines or odds, or offer wagering propositions, designed for the purposes of ensuring that a patron will win a wager or series of wagers.

### **Section 3.14 Communications Technology**

- A. Before installing or permitting the installation of any communications technology on the premises of a book, the book shall notify the Commission in writing of the location and number or other identifier of each communications technology and shall obtain the written approval of the Commission for each communications technology. The Commission may condition the approval in any manner the Commission considers appropriate.
- B. Before a book accepts any wagering communications, the book must obtain the written approval of the Commission to accept such wagering communications and wagering instructions, and thereafter use only the communications technology approved for that purpose. The book must obtain written permission from the Commission by July 1st of each calendar year to continue using the communications technology.
- C. As a condition to the granting of the privilege of having communications technology upon the licensed premises, the book shall be deemed to have consented to the authority of the Commission to require the immediate removal of any communications technology from the licensed premises at any time without prior notice of hearing. After any such removal, the book may request a

hearing as to whether or not circumstances may warrant the permanent revocation of the privilege of having communications technology upon the premises.

- D. Upon the request of the Commission, a book shall provide a written consent for the Commission to examine and copy the records of any telephone, telegraph, or other communications company or utility that pertain to the operation of the book.

### **Section 3.15 Sports Pool or Race Book Wagering by Electronic Means**

- A. Notwithstanding any other provision of these regulations to the contrary, the Commission may authorize electronic wagering to be conducted within a licensed casino facility on mobile devices as approved by the Commission.
- B. Approved mobile gaming requires, at a minimum, the following:
  - 1. The player shall establish a wagering account through the property where mobile gaming will be conducted, and funds may only be deposited into the wagering account while the player is at the property where gaming is being conducted;
  - 2. Wagers shall only be placed within a facility approved by the Commission for mobile gaming; and
  - 3. The Commission authorizes the device application for mobile gaming; provided that the Commission may establish any additional or more stringent licensing and other regulatory requirements necessary for the proper implementation and conduct of mobile gaming as authorized herein.
- C. For the purposes of this provision, the approved facility shall include any area located within the property boundaries of the licensed facility that the Commission determines is proper for gaming.
- D. The Commission shall ascertain and ensure, pursuant to rules and regulations issued by the Commission to implement mobile gaming pursuant to this provision, that mobile gaming shall not extend outside of the property boundaries of the casino facility licensed for gaming.

### **Section 3.16 Required Personnel; Records and Forms**

- A. Each book shall employ or engage the services of a sports wagering manager, or similar employee, with experience and expertise in the operations of a sports book.
- B. Books shall create and maintain the records and reports required by this regulation in such manner and using such methods as the Commission may require or approve. The Commission may require books to create and maintain such other records and reports as are necessary or convenient for strict regulation of books. Except as otherwise provided in this regulation, books shall preserve the records required by this regulation for at least 3 years after they are made. The Commission may at any time examine and copy the

records of any book. Each book shall comply with all other applicable regulations of the Commission to the extent not in conflict with this regulation.

### **Section 3.17 Accounting**

- A. Each gaming operator shall prepare and maintain in a manner suitable to the Commission, complete and accurate accounting records which includes the amount wagered at each book and the gross revenue generated from wagers.
- B. The gross gaming revenue received by a gaming operator from sports wagering shall be calculated as the amount wagered minus the winnings returned to players on those wagers (before paying operating costs).

### **Section 3.18 Global Risk Management**

- A. A book engaging in global risk management may provide direction, management, consultation, and/or instruction to the operator of a wagering pool located in a permissible jurisdiction concerning:
  - 1. The management of risks associated with a wagering pool for a race or sporting event or any other event for which the wagering pool is permitted to accept wagers;
  - 2. The determination of where lines, point spreads, odds, or other activity relating to betting or wagering are initially set and the determination of whether to change such lines, point spreads, odds, or other activity relating to betting or wagering;
  - 3. Whether or not to accept or reject bets or wagers, to pool bets or wagers, or to lay off bets or wagers;
  - 4. The use, transmittal, and accumulation of information and data for the purpose of providing global risk management; and
  - 5. Any other activity associated with a wagering pool if approved in writing by the Commission prior to a book commencing direction, management, consultation, and/or instruction concerning the activity.
- B. A book which intends to provide global risk management shall:
  - 1. Enter into a written agreement to provide global risk management with any operator of a wagering pool to which the book proposes to provide global risk management. A copy of such executed agreement with an operator of a wagering pool shall be provided to the Commission no later than the date on which the book commences global risk management for the operator of the wagering pool;
  - 2. Provide details to the Commission regarding any permissible jurisdiction where the book intends to provide global risk management no later than the date on which the book commences global risk management in such permissible jurisdiction;
  - 3. No later than the date on which a book commences global risk management, submit the book's systems of accounting and internal control utilized for global risk management to the Commission. Such systems must include provisions for complying with all applicable laws and regulations; and
  - 4. Provide such other information as the Commission may require concerning global

risk management.

- C. The Commission may object in writing to such agreements in the Commission's sole and absolute discretion. If the Commission objects to an agreement, the book shall not provide global risk management to the operator of a wagering pool until the book has resubmitted the agreement to the Commission, and the Commission has indicated in writing that it does not object to the resubmitted agreement.

### **Section 3.19 Sports Integrity**

- A. Gaming operators shall adopt Commission-approved internal controls to identify suspicious wagers.
- B. Gaming operators shall file as soon as reasonably possible, but in no case within no more than twelve (12) hours, an integrity alert report detailing the suspicious activity to the Commission, on forms approved by the Commission.
- C. If the Commission deems the threat credible, it may send the alert to other gaming operators and may suspend betting or require that wagers be voided on the event(s).
- D. If a gaming operator receives notice of suspicious activity at another property, it must respond within twelve (12) hours to confirm or deny similar betting trends and activity.
- E. In the event of generating or receiving an alert, the gaming operators affected shall maintain all relevant information regarding the bet and the bettor.
- F. Information contained in alerts may be shared with law enforcement, sports leagues, or other entities as deemed necessary by the Commission to maintain the integrity of wagering on Tribal Lands.
- G. The Commission may impose any additional conditions reasonably necessary on a gaming operator to address risks to the integrity of sport and sports betting.